

# Perception-based Grammatical Inference for Adaptive Systems

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## This paper

1. We introduce a learning paradigm called *sensor-identification in the limit from positive data*.
2. *sensor* is a perception module that obfuscates the learner's input.
3. Exact identification is eschewed for converging to a grammar which generates a language approximating the target language.
4. Successful approximation is understood as matching up to *observation-equivalence*.
5. Theoretical work exists which addresses other kinds of imperfect presentations, oracles, and the kinds of results obtainable with them [AL88, Ste95, FJ96, CJ01, THJ06].

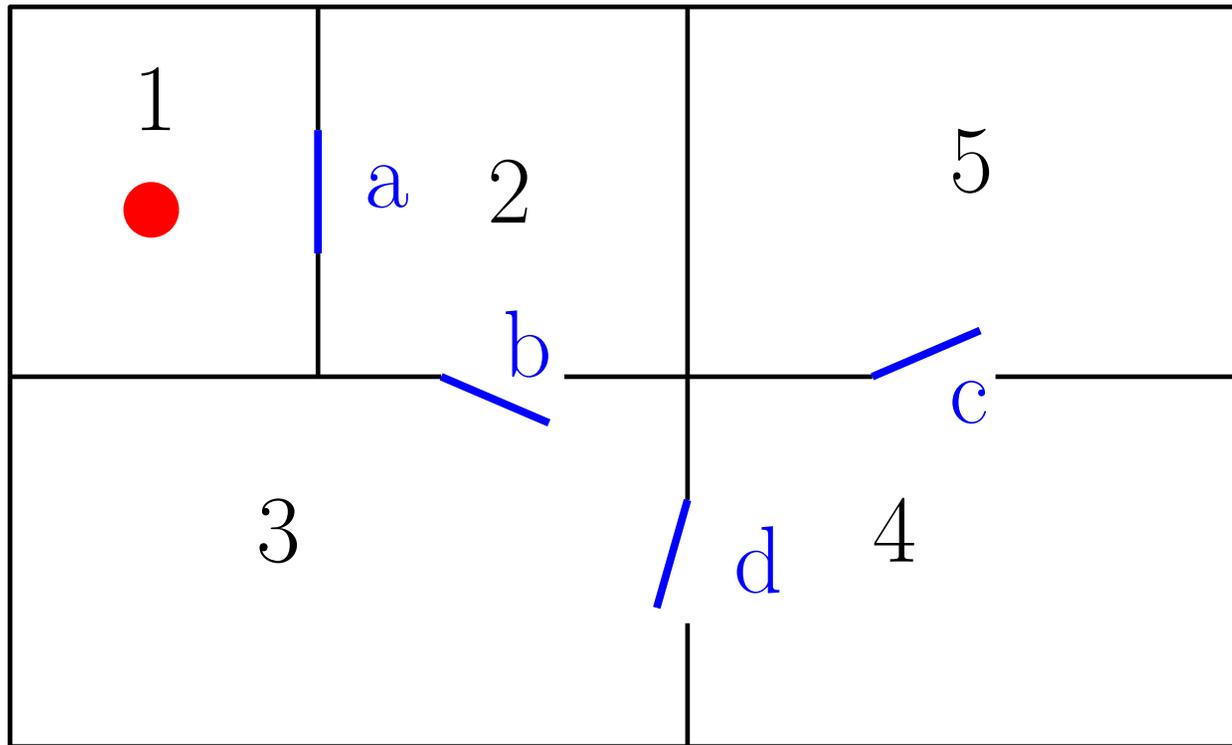
## Motivation (part I)

1. A frontier in robotics is managing uncertainty.
2. Earlier work showed how to use grammatical inference to reduce the uncertainty in environments with potentially adversarial, but rule-governed behavior [CFK<sup>+</sup>12, FTH13, FT HC14].
3. The robot's capabilities, task, and environment were modeled as finite-state transition systems and product operations brought these elements together to form a *game*, allowing optimal control strategies to be computed (if they exist).
4. However, that work assumed *perfect* information about the environment.

## Motivation (part II)

1. Recent results in game theory [AVW03, CDHR06] shows that optimal strategies can be found even for games with *imperfect* information (where players only have partial information about the state of the game).
2. The techniques in [CFK<sup>+</sup>12, FTH13, FTHC14] allow *imperfect* games to be constructed from *imperfect*—but consistent—models of the environment.
3. What is missing then is a way to identify such models from *imperfect* observations.
4. (POMDPs and MDPs address 1-player stochastic games, not 2-player games.)

# Motivating Example



## Basic Strategy

1. Convert learning solutions in the identification in the limit from positive data paradigm to solutions in the sensor-identification paradigm.
2. We focus on learnable regular classes of languages, which are well-studied [dlH10].

## Sensor models

Sensor models have been proposed [CL08, LEPDG11, FDT14]. The definition below subsumes them all.

A sensor model is  $\text{sensor} = \langle \Theta, \Sigma, \sim_\theta (\forall \theta \in \Theta), L_\Theta \rangle$  where

- $\Theta$  and  $\Sigma$  are finite, ordered sets of alphabets (the former being the *sensor configurations*).
- For all  $\theta \in \Theta$ ,  $\sim_\theta$  is an equivalence relation on  $\Sigma$ . If  $\sigma_1 \sim_\theta \sigma_2$  then  $\sigma_1$  is *indistinguishable* from  $\sigma_2$  under sensor configuration  $\theta$ . Let  $[\sigma]_\theta = \{\sigma' \in \Sigma \mid \sigma' \sim_\theta \sigma\}$ .
- $L_\Theta \subseteq \Theta^*$  is regular and represents the permissible sequences of sensor configurations.

We let  $\hat{\Sigma}$  denote the powerset of  $\Sigma$ . So  $[\sigma]_\theta \in \hat{\Sigma}$ .

## Observations (part I)

1. A bi-word is an element of  $(\Theta \times \Sigma)^*$ .
2. Let  $\pi_1$  and  $\pi_2$  be the left and right projections of  $w \in (\Theta \times \Sigma)^*$ .
3.  $\text{obs} : (\Theta \times \Sigma)^* \rightarrow \hat{\Sigma}^*$  is defined inductively as follows.
  - The base case:  $\text{obs}(\lambda) = \{\lambda\}$ .
  - The inductive case:

$$\text{obs}(w \cdot (\theta, \sigma)) = \text{obs}(w) \cdot [\sigma]_\theta$$

4. Thus  $\text{obs}(u, v)$  is the *finite* set of sequences in  $\Sigma^*$  that are indistinguishable from  $v$  given the sequence  $u$  of sensor configurations.

## Running Example (1)

Let  $\Theta = \{\theta\}$ ,  $\Sigma = \{0, 1, 2\}$ , and  $[0]_\theta = \{0\}$  and  $[1]_\theta = [2]_\theta = \{1, 2\}$ .

Consider the biword  $w = (\theta, 0)(\theta, 1)(\theta, 1)(\theta, 0)(\theta, 2)(\theta, 2)$ .

Then:

1.  $\pi_1(w) = \theta\theta\theta\theta\theta\theta$ .

2.  $\pi_2(w) = 011022$ .

3.

$$\begin{aligned} \text{obs}(w) &= [0]_\theta [1]_\theta [1]_\theta [0]_\theta [2]_\theta [2]_\theta \\ &= \{0\}\{1, 2\}\{1, 2\}\{0\}\{1, 2\}\{1, 2\} \end{aligned}$$

## Observations (part II)

Similarly, each  $u \in \Theta^*$ , a sensor model inductively induces an equivalence relation  $\sim_u$  over  $\Sigma^*$ .

- The base case:  $\lambda \sim_\lambda \lambda$
- The inductive case:  $(\forall \sigma_1, \sigma_2 \in \Sigma, v_1, v_2 \in \Sigma^*, \theta \in \Theta, u \in \Theta^*)$

$$[v_1 \sim_u v_2 \Rightarrow (v_1 \sigma_1 \sim_{u\theta} v_2 \sigma_2 \Leftrightarrow \sigma_1 \sim_\theta \sigma_2)]$$

Let  $[v]_u = \{v' \in \Sigma^* \mid v \sim_u v'\}$ , which denotes equivalent strings in  $\Sigma^*$  according to  $u \in \Theta^*$ .

**Lemma 1.** *For all  $w \in (\Theta \times \Sigma)^*$ ,  $[\pi_2(w)]_{\pi_1(w)} = \text{obs}(w)$  is a finite subset of  $\Sigma^*$ .*

## Running Example (2)

Consider biwords

$$w_1 = (\theta, 0)(\theta, 1)(\theta, 1)(\theta, 0)(\theta, 2)(\theta, 2)$$

$$w_2 = (\theta, 0)(\theta, 2)(\theta, 1)(\theta, 0)(\theta, 1)(\theta, 2)$$

Then

1.  $\text{obs}(w_1) = \text{obs}(w_2)$

2.  $w_1 \sim_{\theta\theta\theta\theta\theta\theta} w_2$

# Facts and Observations

## Facts on the Ground

Given  $L_\Theta$  and  $L_\Sigma$ , the *facts on the ground* are

$$L_{\text{system}} \stackrel{\text{def}}{=} \left\{ w \in (\Theta \times \Sigma)^* \mid \pi_1(w) \in L_\Theta \text{ and } \pi_2(w) \in L_\Sigma \right\}$$

## The Observations on the Ground

In contrast, the *observations on the ground* are:

$$L_{\text{sensor}} \stackrel{\text{def}}{=} \left\{ \begin{array}{l} \hat{w} \in (\Theta \times \hat{\Sigma})^* \mid \exists w \in L_{\text{system}} \text{ and} \\ \pi_1(\hat{w}) = \pi_1(w) \text{ and } \pi_2(\hat{w}) = \text{obs}(w) \end{array} \right\}$$

## Running Example (3)

Consider the languages

$$L_{\Theta} = \theta^*$$

$$L_{\Sigma} = \{w \mid |w|_0, |w|_1, |w|_2 \text{ are each even}\}$$

Then

1.  $w_1 = (\theta, 0)(\theta, 1)(\theta, 1)(\theta, 0)(\theta, 2)(\theta, 2)$  and  
 $w_2 = (\theta, 0)(\theta, 2)(\theta, 1)(\theta, 0)(\theta, 1)(\theta, 2)$  belong to  $L_{\text{system}}$ .
2.  $(\theta, \{0\})(\theta, \{1, 2\})(\theta, \{1, 2\})(\theta, \{0\})(\theta, \{1, 2\})(\theta, \{1, 2\})$  is  
an element of  $L_{\text{sensor}}$ .

## Observation-equivalence of Languages

**Definition 1** (Observation-equivalence). *According to model sensor, languages  $L, L' \subseteq \Sigma^*$  are observation-equivalent if*

$$(\forall v \in L)(\exists v' \in L')(\forall u \in \{u \mid (u, v) \in L_{\text{system}}\}) [v \sim_u v'] \quad \text{and}$$

$$(\forall v' \in L')(\exists v \in L)(\forall u \in \{u \mid (u, v') \in L'_{\text{system}}\}) [v \sim_u v']$$

## Running Example (4)

Fix  $L_{\Theta} = \theta^*$ . Consider

$$\begin{aligned}L_t &= \{w \mid |w|_0, |w|_1, |w|_2 \text{ are each even}\} \\L_h &= \{w \mid |w|_0, (|w|_1 + |w|_2) \text{ are both even}\}\end{aligned}$$

Then

1.  $L_t$  is observation-equivalent to  $L_h$ .

Illustration: Let  $w_3 = (\theta, 1)(\theta, 1)(\theta, 1)(\theta, 2)(\theta, 2)(\theta, 2)$ .

Then  $\pi_2(w_3) = 111222 \in L_h$  but  $\pi_2(w_3) \notin L_t$ . Nonetheless,  $\text{obs}(w_3) = \{1, 2\}\{1, 2\}\{1, 2\}\{1, 2\}\{1, 2\}\{1, 2\}$  and there exists  $w_4$  such that  $\pi_2(w_4) = 112211 \in L_t$  such that  $\text{obs}(w_4) = \text{obs}(w_3)$ .

## Sensor-identification in the limit

We consider a sensor model  $\text{sensor} = \langle \Theta, \Sigma, \sim_\theta (\forall \theta \in \Theta), L_\Theta \rangle$  and family of languages  $\mathcal{L}$  over  $\Sigma$ .

$\mathcal{L}$  is *sensor-identifiable in the limit from positive data* if there exists an algorithm  $\mathfrak{A}$  such that for all  $L \in \mathcal{L}$ , for any presentation  $\phi$  of  $L_{\text{sensor}}$ , there exists  $n \in \mathbb{N}$  such that for all  $m \geq n$ ,

- $\mathfrak{A}(\phi[m]) = \mathfrak{A}(\phi[n]) = G$ , and **(convergence)**
- $L(G)$  is observation-equivalent to  $L$ . **(“correctness”)**

## Running Example (5)

If the target language is this one:

$$L_t = \{w \mid |w|_0, |w|_1, |w|_2 \text{ are each even}\}$$

Then presentations draw elements from  $L_{\text{sensor}}$ :

not  $(\theta, 0)(\theta, 0)(\theta, 1)(\theta, 1)(\theta, 2)(\theta, 2)$  but

$$(\theta, \{0\}) (\theta, \{0\}) (\theta, \{1, 2\}) (\theta, \{1, 2\}) (\theta, \{1, 2\}) (\theta, \{1, 2\})$$

not  $(\theta, 1)(\theta, 0)(\theta, 2)(\theta, 0)(\theta, 1)(\theta, 2)$  but

$$(\theta, \{1, 2\}) (\theta, \{0\}) (\theta, \{1, 2\}) (\theta, \{0\}) (\theta, \{1, 2\}) (\theta, \{1, 2\})$$

...

# Learning regular languages

For any  $L$ , let  $\sim_L$  be the Myhill-Nerode equivalence relation for  $L$ .

$$w \sim_L w' \Leftrightarrow \{v \in \Sigma^* \mid wv \in L\} = \{v \in \Sigma^* \mid w'v \in L\}$$

.

1. Given as input a finite sample  $S \subset \Sigma^*$ , a learning algorithm  $\mathfrak{A}$  determines an equivalence relation  $\sim_{\mathfrak{A}}$  over  $\Sigma^*$ .
2. For any regular  $L$ , for any presentation  $\phi$  of  $L$ , if  $\mathfrak{A}(\phi)$  outputs  $\sim_{\mathfrak{A}}$ , which is of finite index and refines  $\sim_L$  then  $\mathfrak{A}$  identifies  $L$  in the limit from positive data.
3. If  $\mathfrak{A}$  does this for every  $L \in \mathcal{L}$  then  $\mathfrak{A}$  identifies  $\mathcal{L}$  in the limit from positive data.

## Useful Lemma

**Lemma 2.** *If  $L_\Theta$  and  $L$  are regular then  $\sim_{L_{\text{system}}}$  is of finite index and a right congruence. Furthermore,*

$$w \sim_{\text{system}} w' \Leftrightarrow \pi_1(w) \sim_{L_\Theta} \pi_1(w') \wedge \pi_2(w) \sim_L \pi_2(w')$$

## Lifting congruences to $\hat{\Sigma}^*$

A right congruence  $\sim$  over  $\Sigma^*$  induces a relation  $\approx$  among elements of  $\mathcal{P}(\Sigma^*)$ :

$$X \approx Y \Leftrightarrow (\forall x \in X)(\exists y \in Y)(x \sim y) \wedge (\forall y \in Y)(\exists x \in X)[x \sim y]$$

Since elements of  $\hat{\Sigma}^*$  can be understood as subsets of  $\Sigma^*$ ,  $\approx_L$  is meaningful on  $\hat{\Sigma}^*$ .

**Lemma 3.** *If  $\sim_{\text{system}}$  is of finite index and a right congruence then so is  $\sim_{\text{sensor}}$ . Furthermore,*

$$w \sim_{\text{sensor}} w' \Leftrightarrow \pi_1(w) \sim_{L_\Theta} \pi_1(w') \wedge \pi_2(w) \approx_L \pi_2(w')$$

1. By Lemmas 2 and 3, there is a DFA  $A$  accepting  $L_{\text{sensor}}$ .  $A$  defines a class of languages  $\mathcal{L}_{\text{sensor}}$  over  $\Sigma$ .
2. Each  $L \in \mathcal{L}_{\text{sensor}}$  is obtained by replacing each label (which is an element of  $\Theta \times \hat{\Sigma}$ ) of each transition in  $A$  with one element drawn from the label's right projection (thus the drawn element belongs to  $\Sigma$ ).
3. These choices can be made consistently since  $\Sigma$  is ordered.

**Lemma 4.** *Any  $L' \in \mathcal{L}_{\text{sensor}}$  is observation-equivalent to  $L$ .*

## Main result

**Theorem 1.** *Let  $\mathcal{L}$  be identifiable in the limit from positive data by a state-merging algorithm  $\mathfrak{A}$  and consider sensor =  $\langle \Theta, \Sigma, \sim_\theta (\forall \theta \in \Theta), L_\Theta \rangle$ . There exists an algorithm  $\mathfrak{B}$  which Sensor-identifies  $\mathcal{L}$  in the limit from positive data.*

**Proof Sketch** Algorithm  $\mathfrak{B}$  which takes as input a finite set  $S \subset L_{\text{sensor}}$  is defined from  $\mathfrak{A}$  which identifies  $\mathcal{L}$ , the equivalence relations  $\theta \in \Theta$  on  $\Sigma$ , and  $L_\Theta$ .

$\mathfrak{B}$  builds a PTA for  $S$  and merges prefixes according to  $\sim_{\mathfrak{B}}$ , defined as follows:

$$\hat{w} \sim_{\mathfrak{B}} \hat{w}' \Leftrightarrow \pi_1(\hat{w}) \sim_{L_\Theta} \pi_1(\hat{w}') \wedge \pi_2(\hat{w}) \approx_{\mathfrak{A}} \pi_2(\hat{w}').$$

(continued...)

## Proof sketch (con't)

$$\hat{w} \sim_{\mathfrak{B}} \hat{w}' \Leftrightarrow \pi_1(\hat{w}) \sim_{L_{\Theta}} \pi_1(\hat{w}') \wedge \pi_2(\hat{w}) \approx_{\mathfrak{A}} \pi_2(\hat{w}').$$

1. Since  $L_{\Theta}$  is regular, we assume it is given in terms of its minimal DFA and so  $\sim_{L_{\Theta}}$  can be computed.
2. Also,  $\approx_{\mathfrak{A}}$  can be computed since  $\sim_{\mathfrak{A}}$  can be computed and every  $\text{obs}(w)$  ( $w \in L_{\text{system}}$ ) is a finite set.
3. In the limit,  $\sim_{\mathfrak{B}}$  is of finite index because  $\sim_{\mathfrak{A}}$  is of finite index.
4. Also in the limit,  $\sim_{\mathfrak{B}}$  refines  $\sim_{\text{sensor}}$  because  $\sim_{\mathfrak{A}}$  refines  $\sim_L$  in the limit and by definition of  $\approx$ .
5. Thus this acceptor recognizes the same language as  $L_{\text{sensor}}$ , and by Lemma 4, a language  $L'$  observation-equivalent to  $L$  can be obtained.
6. Convergence to  $L'$  is guaranteed by drawing least elements to find it.

## Demonstration #1: Zero-reversible languages $\mathcal{L}_{ZR}$

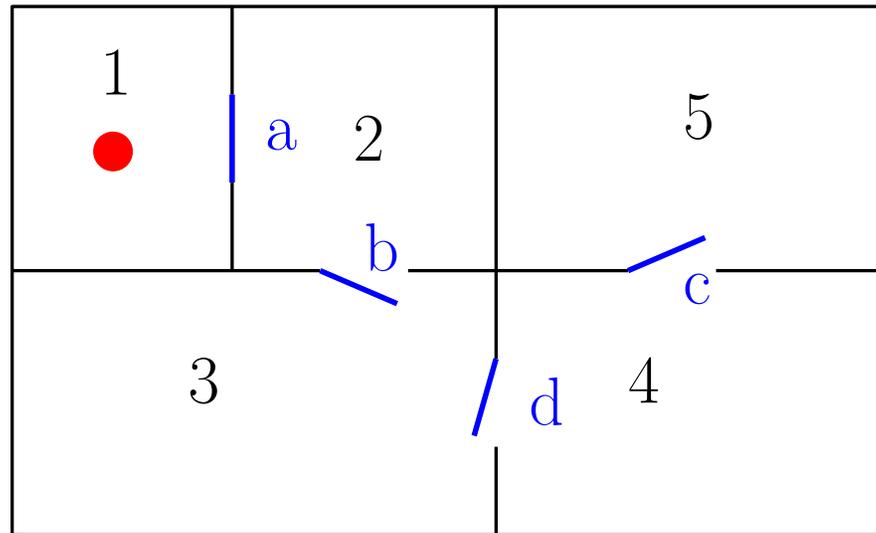
$$L_t = \{w \mid |w|_0, |w|_1, |w|_2 \text{ are each even}\} \in \mathcal{L}_{ZR}$$

With a sufficient sample,  $\mathfrak{B}$  outputs a DFA recognizing this language.

$$L_h = \{w \mid |w|_0 \text{ and } (|w|_1 + |w|_2) \text{ are both even}\}$$

As mentioned, this hypothesized language  $L_h$  is observation-equivalent to the target  $L_t$ .

## Demonstration #2: Robot motion planning



1. The game is turn based. The robot can only move to an adjacent room if the adjoining door is open.
2. The dynamic, adversarial environment opens and closes doors according to a Strictly 2-Local language. For instance perhaps the same door cannot be closed on consecutive terms.
3. The robot can only see which doors are open/closed which adjoin to the room it is in.

## Conclusion

1. Using the aforementioned strategy, an observation-equivalent language can be learned.
2. Techniques described in [CFK<sup>+</sup>12, FTH13, FT HC14] allow an *imperfect* game to be constructed.
3. Techniques from algorithmic game theory [AVW03, CDHR06] allow optimal strategies to be found.
4. Consequently, robots can deal with uncertainty better than before.

**Thank you.**

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