# Binding, Coreference, and Presuppositions in the Binding Theory

#### Benjamin Bruening (University of Delaware)

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- Classical binding theory (e.g., Chomsky 1981) did not distinguish binding from coreference, simply regulates coindexing.
- Reinhart (1983a,b): The binding theory should regulate only syntactic binding, not coreference.

- We get a simpler and more empirically adequate binding theory if it regulates both binding and coreference.
- Propose a new binding theory combining Precede-and-Command (Bruening 2014) with the presuppositional approach to Binding Condition A (Sauerland 2013).

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- (1) Every middle-aged man believes that he is an above-average driver. every middle-aged man  $\lambda x.x$  believes that x is an above-average driver
- (2) a. Goofy knows that he is an idiot. Goofy  $\lambda x.x$  knows that x is an idiot.
  - b. Goofy knows that he is an idiot. Goofy  $\lambda x.x$  knows that he is an idiot. (he=Goofy)

Standard analysis:

Sloppy readings = variable binding, strict readings = coreference (Sag 1976, Reinhart 1983b, Heim & Kratzer 1998).

- (3) Samantha called her mother. The teacher did too.*sloppy reading:* 'The teacher called the teacher's mother.'*strict reading:* 'The teacher called Samantha's mother.'
- (4) Samantha called her mother. The teacher did too.
  - a. Samantha  $\lambda x.x$  called x's mother. The teacher  $\lambda x. x$  called x's mother.
  - b. Samantha called her mother. (her=Samantha) The teacher called her mother. (her=Samantha)

- (5) Even GOOFY knows that he is an idiot.
  - a. even Goofy  $\lambda x.x$  knows that x is an idiot.
  - b. even Goofy  $\lambda x.x$  knows that he is an idiot. (he=Goofy)

"Sloppy readings = variable binding, strict readings = coreference" is too simplistic:

- (6) All assistant professors think they are underpaid, and all associate professors do too.
   (strict reading could not be coreference; Fiengo & May 1994: 115–117)
- (7) Every wife thinks that only she respects her husband.(strict reading could not be coreference; example in Reinhart 2006 based on Heim 1998)
- (8) The woman he lived with told Bill to get out, and the woman Ken lived with did too.(sloppy reading not capturable by binding; Davis 2009: note 30)

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Reinhart (1983a,b):

- The binding conditions should only regulate syntactic binding.
- Coreference is in principle free, but some sort of pragmatic obviation principle bars coreference when binding would be possible and indistinguishable in interpretation.
- Adopted by: Grodzinsky & Reinhart (1993), Heim (1998), Fox (2000), Safir (2004), Büring (2005b), Reinhart (2006), Roelofsen (2010).
- Also part of reductionist account of Reuland (Reuland 2001, 2011, 2017, Volkova & Reuland 2014).
- (Opponents: Lasnik 1989, Heim 2007.)

- (9) \* He<sub>1</sub> knows that Goofy<sub>1</sub> is an idiot.
  - a. \* He  $\lambda x.x$  knows that x is an idiot.
  - b. \* He knows that Goofy is an idiot. (he=Goofy)
- (10) Even  $HE_1$  knows that  $Goofy_1$  is an idiot.
  - a. Even HE  $\lambda x.x$  knows that x is an idiot.
  - b. Even HE knows that Goofy is an idiot. (he=Goofy)

The classical binding theory is too strict.

- It predicts many more exceptions to the binding principles than actually exist;
- It has to have pragmatic principles duplicate the syntactic binding conditions so that coreference appears to obey them.
- Actual fact: coreference is subject to the binding principles.

- (11) a. The judge questioned the man who defended himself about why his lawyer couldn't. ('defend him') (McKillen 2016: 27, (31))
  - Mary did something really terrible. Everyone hates her now.
     Even SHE hates herself. (modified from McKillen 2016: 57, (15))

- Claims that reflexives can only have sloppy readings: Keenan (1971), Williams (1977), Partee & Bach (1984), Heim & Kratzer (1998).
- Observation that they can have strict readings: Dahl (1973), Sag (1976), Fiengo & May (1994), Hestvik (1995), Büring (2005a).
- Recent experimental work shows that strict readings are readily available for reflexives and that they are not limited to certain syntactic contexts as works like Hestvik (1995) have claimed:
- Frazier & Clifton (2006), Kim & Runner (2009), Ong & Brasoveanu (2014), and especially McKillen (2016).
- Not a peculiarity of English: Lidz (2001) shows that reflexives in Dutch and Kannada also allow strict readings in ellipsis (see also Rooryck & Vanden Wyngaerd 2011 on Dutch).

Reflexive is required even in cases of strict identity in ellipsis:

- (12) a. \* The judge questioned the man  $who_1$  defended  $him_1$  about why his lawyer couldn't. ('defend him')
  - b. She<sub>1</sub> blames herself<sub>1</sub>/\*her<sub>1</sub>. Her boss does too, and is likely to fire her. ('blame her')
  - Given the ellipsis, coreference and binding give rise to two different interpretations;
  - So nothing should block the use of a coreferential pronoun in approaches where the binding conditions regulate only binding.

As noted by Reinhart (2006):

- (13) a. \* He<sub>1</sub> likes Max<sub>1</sub>'s mother and Felix does too. (Reinhart 2006: 184, (35b))
  - b. \* She<sub>1</sub> thinks Melinda<sub>1</sub>'s paper will be published but Sandra doesn't.

- Reinhart (2006: 185): An interpretation that is banned by the grammar (Conditions B and C) cannot be "snuck in" by using coreference instead.
- But this is equivalent to saying that coreference is subject to Conditions B and C.
- Reinhart has to develop a very convoluted account to maintain her proposal that the binding conditions regulate only syntactic binding and not coreference.

# Condition B and Focus

- Reinhart (1983b) claimed that focus can permit violations of Condition B with pronouns;
- See the references in Roelofsen (2010):
- (14) (Roelofsen 2010: 118, (9–11))
  - a. Only Max himself voted for him.
  - b. I know what John and Mary have in common. John hates Mary and Mary hates her too.
  - c. If everyone voted for Oscar, then certainly Oscar voted for him.
  - Some have noted that sentences of this form are not fully acceptable to native speakers, many speakers reject them (Schlenker 2005b, Jacobson 2007, Heim 2007).
  - Experiments in McKillen (2016): subjects uniformly reject them.
  - I take these to be unacceptable, and another incorrect prediction of the Reinhart account.

Are almost all first and second person:

- (15) (all examples cited in McKillen 2016: 160)
  - a. Even I laughed at me when I built this alien cross-species genetic analyser. (Futurama S05E05)
  - b. Mycroft: I got you out. Sherlock: No, *I* got me out. (Sherlock S03E01)
  - I take these to be acceptable;
  - Explaining the difference between third person and first/second person will be important in a theory.

The classical binding theory struggles with:

- Acceptable violations of Condition C in focus;
- Acceptable violations of Condition B with first and second person pronouns.

The Reinhart view:

- Predicts incorrectly that binding conditions can be violated in the antecedent clause in ellipsis cases with strict readings;
- Predicts incorrectly that focus enables violations of Condition B with third person pronouns;
- Has two distinct sets of principles (syntactic and pragmatic) that partially duplicate each other.

Schlenker (2005a), Minimize Restrictors!:

- Condition C says you can't use an R-expression if you could have used a pronoun;
- But it allows exceptions for pragmatic purposes, including disambiguation and adding expressive content.
- Bruening (2014) adapts this kind of formulation of Binding Condition C into a version of the classical binding theory.
- Note that this does not allow violations of Condition B, which is mostly correct.
- If the classical binding theory can account for the difference between third person and first/second person pronouns, then it will be doing much better than the Reinhart view.

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# Acquisition and Processing

- Grodzinsky & Reinhart (1993), Reinhart (2006): It is a benefit of the Reinhart system that it is so complicated:
- It requires a high processing load to compare the effects of binding and coreference;
- There is evidence for this high processing load from child language acquisition and from other sources.
- The acquisition data regarding Condition B seems to have been misunderstood; see Elbourne (2005) and Conroy et al. (2009).
- In the alternative classical binding theory with exceptions to Condition C for pragmatic purposes, it also takes additional processing to allow for the pragmatic exceptions to Condition C;
- Language users have to consider the intentions of speakers and whether or not they may have some reason to use an R-expression rather than a pronoun.
- Processing does not decide in favor of Reinhart's view.

- Coreference mostly seems to be subject to the binding conditions.
- Exceptions are much more restricted than they should be on the Reinhart view.
- It is better to have a single set of syntactic conditions.
- Task then is to allow certain principled exceptions.

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Sauerland (2013), McKillen (2016): Binding Condition A is actually a presupposition of SELF anaphors.

- SELF anaphors have no at-issue content;
- They add a presupposition to the effect that the predicate of the clause they occur in has two identical arguments.
- Identity subsumes both binding and coreference.

(16) The presuppositions of F-marked NPs or NPs linked to an F-marked NP can be absent in focus alternatives. (McKillen 2016: 146, (104))

Because "linked to" is very imprecise, I will instead state the generalization as follows:

(17) The presuppositions of F-marked NPs or NPs whose denotations are identical to them can be absent in focus alternatives.

- (18) Only Tatiana hates herself. (strict reading: 'no one else hates Tatiana') *focus alternatives:* {x hates herself (herself=Tatiana)}
  - *Herself* is coreferential with Tatiana;
  - Still is in focus alternatives;
  - In focus alternatives, the presupposition of argument identity is not present.

Focus matching (Merchant 1999: 34):

(19) A VP in constituent  $C_E$  can be elided if there is a constituent  $C_A$ , where:

a. 
$$\llbracket C_A \rrbracket^{g,o} \in \llbracket C_E \rrbracket^{g,f} \text{, and}$$

b.  $\llbracket C_E \rrbracket^{g,o} \in \llbracket C_A \rrbracket^{g,f}$ .

Elided clause can have a pronoun rather than a reflexive:

- (20) The accused defended himself before his lawyer did.
  - a. antecedent clause: the accused defended himself (himself=the accused)
     alternatives: {x defended himself (himself=the accused)}
  - b. *elided clause:* his lawyer did [defend him] (him=the accused) *alternatives:* {x defended him (him=the accused)}

The ordinary semantic value of the elided clause is a member of the focus semantic value of the antecedent clause, if the presupposition of Condition A is absent from the focus alternatives.

# Other Cases of Weakened Presupposition Projection

von Heusinger (2007), Sauerland (2013), McKillen (2016):

- (21) a. Only I did my homework.
   (other people did not do theirs; first person presupposition not projected)
  - b. Scenario: One German professor attended the party, three Japanese professors, five English professors, and also two office workers.
    Sam only talked to the GERMAN professor. (uniqueness presupposition not satisfied for alternative 'talked to the Japanese/English professor')
  - c. Scenario: John, Mary, and Bill all worked as waiters. But John and Bill moved on to different jobs.
    Only Mary is still a waitress.
    (female presupposition not satisfied in alternatives)

### Drawbacks to Analysis as Formulated

- Both Sauerland (2013) and McKillen (2016) posit syntactic movement to get the analysis to work.
- Sauerland (2013): SELF moves and adjoins to the predicate.
- McKillen (2016), SELF combines first with a pronoun and then with the predicate, without the need for movement;
- But movement is still necessary with ECM predicates for McKillen.
- (22) a. She<sub>1</sub> washed herself<sub>1</sub>/\*her<sub>1</sub> and him.
  - b. The Queen<sub>1</sub> invited the baron and herself<sub>1</sub>/\*her<sub>1</sub> to tea.
- (23) a. self-washed, self-invited
  - b. \* self-washed and him, \*self-invited the baron and
- (24) The president<sub>1</sub> considers both himself<sub>1</sub>/\*him<sub>1</sub> and his advisors to be above the law.

# Second Drawback: Only Condition A

### (25) Condition B

- a. Trump<sub>1</sub> and his campaign manager have very different opinions. Only the campaign manager actually considers him<sub>1</sub> worth voting for.
- b. Brandon is proud of her<sub>1</sub> and she<sub>1</sub> is too.
- (26) Condition C
  - a. Melissa and her husband have very different views of what she has done. Only he thinks that Melissa's accomplishments have been significant.
  - b. Brandon is proud of what Melissa<sub>1</sub> has accomplished and she<sub>1</sub> is too.

Not clear that Sauerland's and McKillen's analyses can be extended to pronouns and R-expressions.

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Bruening (2014): the binding conditions do not refer to c-command, as in the classical binding theory, but to *precede-and-command*.

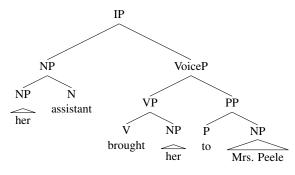
(27) Binding

A binds B iff A and B are coindexed and A precedes and phase-commands B.

- (28) Phase-Command: X phase-commands Y iff there is no ZP, ZP a phasal node, such that ZP dominates X but does not dominate Y.
- (29) Phasal nodes: CP, vP, NP

# Left-to-Right Syntax

- Structures are processed and built syntactically in a left-to-right fashion.
- As structures are built and processed, the grammar/processor keeps track of discourse referents.
- Precedence is relevant because syntax works left-to-right;
- Phase-command is relevant because certain things happen at the right edge of phase boundaries.



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The PPC Binding Theory ("Presuppositional Precede-and-Command"):

- Follow Heim (2007): assume that all quantifiers raise out of argument position and leave behind trace of type e.
- (30) PPC Discourse Sets:
  - a. PPC Discourse Set D: Consists of all denotations of NPs of type e in the current discourse.
  - b. PPC Discourse Set C (the *active* set): Consists of denotations of NPs of type e in the sentence currently being processed.
  - c. PPC Discourse Set A (the *local* set): Consists of denotations of NPs of type e in the local argument domain currently being processed.

# **Reformulated Processing Principles**

- (31) PPC Processing Principle 1:
  - Move denotation of NP N out of local set A and into the active set C at the left edge of an argument domain that does not include N.(Heuristic: Move when encounter
    - Clause boundary; or
    - Predicate that did not assign case or thematic role to N; or
    - NP M such that M receives case and thematic role from a predicate other than the one that assigned case/role to N.)
- (32) PPC Argument Domain: An argument domain is the set of elements that includes a predicate P and all the arguments of P.
- (33) PPC Processing Principle 2: Move denotation of NP N out of sets A and C and into set D at the right edge of a phasal node that dominates N.

NPs are specified as being one of the following:

- (34) a. Local anaphors (e.g., reflexives)
  - b. Det R (R-expression)
  - c. Det R (pronoun)
  - Local anaphors do not have a designated form, both Det R and Det <del>R</del> could be specified as being a local anaphor;
  - If not specified, then default as above;
  - Can also be ambiguous (both local anaphor and not); e.g. English possessive pronouns.
  - (Note that a given NP could also be subject to other conditions, for instance that it must be syntactically bound, or is antilogophoric, or ...)

(35) PPC Binding Condition A:

If a newly processed NP is a local anaphor, its denotation is presupposed to be identical to a denotation already in set A.

- (36) PPC Binding Condition B (to be revised): The denotation of any NP that is not a local anaphor is presupposed not to be identical to a denotation in set A.
- (37) PPC Binding Condition C (Minimize Restrictors): The denotation of an NP of the form *Det R* is presupposed not to be identical to the denotation of an NP in active set C if R could have been dropped without affecting either (i) the descriptive content of the NP or (ii) its various pragmatic effects.

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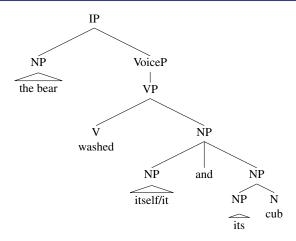
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# Examples



- (38) a. The bear washed itself and its cub.
  - b. The bear washed it and its cub.

• Possessive pronoun is ambiguous between an anaphor and a pronoun.

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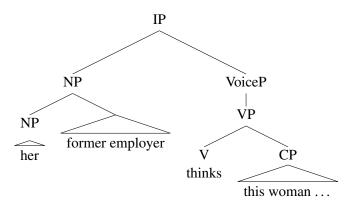
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#### (39) \* The bear thinks the man will feed itself.

# Condition C

(40) a. She thinks this woman will be successful.

b. Her former employer thinks this woman will be very successful.



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- (41) Mary did something really terrible. Everyone hates her now. Even SHE hates herself. (modified from McKillen 2016: 57, (15)) *alternatives:* {x hates herself (herself = Mary)}
  - Presupposition must be satisfied in ordinary semantic value;
  - But can be violated in focus semantic value.

- (42) The accused defended himself before his lawyer did.
  - a. *antecedent clause:* the accused defended himself (himself=the accused)
    - alternatives: {x defended himself (himself=the accused)}
  - b. *elided clause:* his lawyer did [defend him] (him=the accused) *alternatives:* {x defended him (him=the accused)}

(43) Trump<sub>1</sub> and his campaign manager have very different opinions. Only the campaign manager actually considers him<sub>1</sub> worth voting for. *alternatives:* {x actually considers him worth voting for (him=Trump)}

- (44) Brandon is proud of her and she is too.
  - a. *antecedent clause:* Brandon is proud of her (her=Melissa) *alternatives:* {x is proud of her (her=Melissa)}
  - b. *elided clause:* she is [proud of herself] (herself=Melissa) *alternatives:* {x is proud of herself (herself=Melissa)}

(45) Melissa and her husband have very different views of her abilities. Only he thinks that Melissa's accomplishments have been significant. *alternatives:* {x thinks that Melissa's accomplishments have been significant}

- (46) Brandon is proud of what Melissa has accomplished and she is too.
  - a. *antecedent clause:* Brandon is proud of what Melissa has accomplished *alternatives:* {x is proud of what Melissa has accomplished}
  - b. elided clause: she is [proud of what she has accomplished] (she=Melissa) alternatives: {x is proud of what she has accomplished (she=Melissa)}

- (47) a. \* The judge questioned the man  $who_1$  defended  $him_1$  about why his lawyer couldn't. ('defend him')
  - b. \* She<sub>1</sub> thinks Melinda<sub>1</sub>'s paper will be published but Sandra doesn't.

Presupposition must be satisfied in the ordinary semantic value.

• Reinhart's approach stumbles here, current account correctly predicts distinction between elided clause and antecedent clause.

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- Most alternatives to the classical binding theory ignore reciprocals;
- Current analysis accounts for them easily by having multiple meaning components:
  - At-issue content (meaning of reciprocal);
  - Presupposition: Condition A.
- See Sauerland (2013).
- Reciprocals allow strict readings:
- (48) a. They hate each other almost as much as their enemies do. ('hate them')
  - b. We blamed each other. The boss did, too, and fired us both. ('blamed us')

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(49) John<sub>1</sub> is so careless that the idiot<sub>1</sub> will get killed in an accident one of these days. (Nediger 2017: (23a))
(cf. \*He<sub>1</sub> is so careless that John<sub>1</sub> will get killed in an accident one of these days.)

Allowed by current Condition C: Dropping the restriction would lose the descriptive content of *idiot*.

- (50) a. \* John<sub>1</sub> is so careless that he<sub>1</sub> will kill the idiot<sub>1</sub> in an accident one of these days. (Nediger 2017: 112, (23))
  - b. John<sub>1</sub> is the stupidest realtor ever.  $*He_1$  accidentally sold the idiot<sub>1</sub>'s own house.

Follows from Condition B:

(51) PPC Binding Condition B (to be revised): The denotation of any NP that is not a local anaphor is presupposed not to be identical to a denotation in set A.

- (52) a. Only BERTRAND likes Bertrand.
  - b. Even BERTRAND hates Bertrand.
  - c. A: Nobody likes Bertrand. B: BERTRAND likes Bertrand.
- (53) a. Bertrand only likes BERTRAND.
  - b. Bertrand even likes BERTRAND.
  - c. A: Bertrand doesn't like anybody. B: Bertrand likes BERTRAND.

- (54) PPC Binding Condition C (Minimize Restrictors): The denotation of an NP of the form *Det R* is presupposed not to be identical to the denotation of an NP in active set C if R could have been dropped without affecting either (i) the descriptive content of the NP or (ii) its various pragmatic effects.
- (55) Even BERTRAND thinks Bertrand is a fool.

Repeating name disambiguates to coreferential reading.

- (56) (modified from Roelofsen 2010: 118, (9–11))
  - a. \* Only Max himself voted for him.
  - b. \* I know what John and Mary have in common. John hates Mary and Mary hates her too.
  - c. \* If everyone voted for Oscar, then certainly Oscar voted for him.

- (57) (all examples cited in McKillen 2016: 160)
  - a. Even I laughed at me when I built this alien cross-species genetic analyser. (Futurama S05E05)
  - b. Mycroft: I got you out. Sherlock: No, *I* got me out. (Sherlock S03E01)

Condition B can be violated by repeated names and by repeated first and second person pronouns, but it cannot be violated by epithets or third person pronouns.

- Repetition not actually required:
- (58) A: Who did the suspect call? B: The suspect, who we are calling Person X, called Person X.
  - Focus maybe not required, either:
- (59) OK, here's what I think happened: Prisoner 1 stabbed prisoners 2 and 4, then prisoner 3 stabbed prisoner 1 and prisoner 3.

Points to disambiguation being crucial.

- (60) PPC Binding Condition B (final version): Unless NP N that is not a local anaphor is being used specifically to refer unambiguously to a referent in the discourse, the denotation of N is presupposed not to be identical to a denotation in set A.
  - Epithets are not used to unambiguously refer, they are used to add expressive content, so can never violate Condition B.
  - Third person pronouns not used to unambiguously refer, an R-expression always serves that purpose better.
  - No R-expression for first and second person, only choice for unambiguous reference is pronoun.

- (61) a. A: Who did every suspect call? B: Every suspect called every suspect. (\*'Every suspect called him/herself.')
  - b. NO ONE got no one out. (\*'No one got him/her self out.')

In contrast, epithets can be quantificational:

(62) Every one of my captors<sub>1</sub> was so cruel that I am convinced the evil bastard<sub>1</sub> has a special place reserved for them in hell.

# The Binding Principles Regulate Both Binding and Coreference

## A New Binding Theory

- The Presuppositional Approach
- The Analysis in Bruening 2014
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# Further Issues and Refining Condition B

• Epithets Versus Disambiguation

# Epithet Possessors

- Strong Crossover
- Overlapping Reference

Nediger (2017) notes that epithets as possessors also cannot be locally bound:

- (63) (Nediger 2017: 112–113, (24–25))
  - a. Every bastard<sub>1</sub>'s mother thinks the bastard<sub>1</sub> is crazy.
  - b. \* Every bastard<sub>1</sub> raised the bastard<sub>1</sub>'s hand.
  - c. \* He<sub>1</sub> raised the bastard<sub>1</sub>'s hand.
  - d. \*  $Jacob_1$  raised the bastard<sub>1</sub>'s hand.
  - e. \* The bastard<sub>1</sub> raised the bastard<sub>1</sub>'s hand.

- (64) (Nediger 2017: 113, (27))
  - a. \* Raisa<sub>1</sub> showed Olga the idiot<sub>1</sub>'s house.
  - b. ? Raisa showed  $Olga_1$  the idiot<sub>1</sub>'s house.

- (65) a. \* He's so stupid, I was able to sell him<sub>1</sub> the idiot<sub>1</sub>'s own pants. (cf. I was able to sell the idiot<sub>1</sub> his<sub>1</sub> own pants.)
  - b. \* The hostess placed him<sub>1</sub> next to the poor bastard<sub>1</sub>'s ex-wife. (cf. The hostess placed the poor bastard<sub>1</sub> next to his<sub>1</sub> ex-wife.)
  - c. \* They finally told him<sub>1</sub> about the poor bastard<sub>1</sub>'s children.
     (cf. They finally told the poor bastard<sub>1</sub> about his<sub>1</sub> children.)

# Explanation: Locally Bound Possessive Pronouns are Anaphors

- Epithets can never be anaphors,
- So always ruled out with a local antecedent.
- Predicts that non-local subject is fine:
- (66) a. John<sub>1</sub> is so careless that the idiot<sub>1</sub>'s poor driving is going to kill someone one of these days.
  - b. John<sub>1</sub> was devastated when his own advisor accidentally destroyed the poor bastard<sub>1</sub>'s research notes.

If we were to formulate the binding conditions so that the local subject was outside the binding domain of a possessive pronoun, we would have no explanation for the behavior of epithet possessors.

- Argument in favor of treating forms that can have a local antecedent or not as ambiguous.
- Possessive pronouns with a local subject as antecedent are anaphors:
- (67) She<sub>1</sub> lost her<sub>1</sub> keys.

(e.g., Reuland 2011)

- Languages are full of items that do double duty;
- E.g., English *any* NPs are systematically ambiguous between NPIs and free choice items.

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Wasow (1972), Chomsky (1981); see Lasnik & Funakoshi 2017 for an overview:

(68) \* Which girl<sub>1</sub> does she<sub>1</sub> think John likes which girl<sub>1</sub>?

# (69) \* Who<sub>1</sub> does only HE<sub>1</sub> (HIMSELF) still think Mary likes $t_1$ ? (Lasnik & Funakoshi 2017: (89))

- (70) PPC Binding Condition C (Minimize Restrictors): The denotation of an NP of the form *Det R* is presupposed not to be identical to the denotation of an NP in active set C if R could have been dropped without affecting either (i) the descriptive content of the NP or (ii) its various pragmatic effects.
  - In the null copy, *R* can not actually be dropped without violating the grammar.
  - In Condition C, the only thing that allows *R* not to be dropped is affecting either the denotation or the pragmatics.
  - Result: Dropping *R* violates the grammar, but not dropping *R* violates Condition C.
  - There is simply no way to get around violating something, and so strong crossover always results.

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### Overlapping Reference

#### References

# **Overlapping Reference**

PPC binding theory rules out some cases of overlapping reference but allows others:

- (71) a. \* John<sub>1</sub> and Mary like himself<sub>1</sub>.
  - b. \* He<sub>1</sub> argued that John<sub>1</sub> and Mary should be given the contract.
  - c. \* The bear<sub>1</sub> washed it<sub>1</sub> and its cub.
- (72) a. A: Who did you guys vote for?B: We all voted for me.
  - b. A: Who recommended who?
    B: Well, John<sub>1</sub> and Mary recommended him<sub>1</sub>, Stan and Sue recommended Kyle, ...
  - c. A: What did John<sub>1</sub> and Mary<sub>2</sub> go to see the boss about?
    B: They<sub>{1,2}</sub> went to argue that John<sub>1</sub> was the best person for the job.

I contend that this is correct (contra Lasnik 1981, 1989, Higginbotham 1983).

### n=5; unacceptable=0, marginal=0.5, acceptable=1

sentence	sum
A: Did some of the animals clean some of the other animals? B: Yes,	0
the bear washed it and its cub. (it, its refer to the bear)	
A: Which animal did the bear and the zookeeper clean together? B:	0
The bear and the zookeeper cleaned itself together. (itself refers to	
the bear)	
A: What did John go to see the boss about? B: He wanted to convince	1
the boss that John and Mary should be assigned to the new project.	
(he refers to John)	
A: Who recommended who? B: Well, Mary and John recommended	2
her, but Stan and Sue recommended Kyle. (her refers to Mary)	
A: Who did you guys vote for? B: We all voted for me.	4.5
A: What did John and Mary go to see the boss about? B: They	5
wanted to convince the boss that Mary was the best person for the	
job. (they refers to John and Mary)	
- · · · · · · · · · · · · · · · · · · ·	<u>'</u> =

- We get a simpler, more empirically adequate binding theory if it regulates both binding and coreference (identity).
- Proposed a new binding theory combining the presuppositional analysis with the precede-and-command analysis;
- This new binding theory (the PPC Binding Theory) explains numerous facts and has numerous advantages.
- Incompatible with existing reductionist accounts (e.g., Reuland 2011), but these are unsuccessful anyway.

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